

NATIONAL INSTRUMENT 24-101 *INSTITUTIONAL TRADE MATCHING AND SETTLEMENT*

TRADE MATCHING STATEMENT

To: All trade matching parties providing trade orders to, acting on behalf of, or executing a trade with:

<Legal Name of Trade Matching Party>

<Address>

<City>

<Province or State>

<Country>

<Postal Code or Zip>

<Telephone>

“Entity”

This Trade Matching Statement is being provided in accordance with ***National Instrument 24-101 – Institutional Trade Matching and Settlement*** (“National Instrument”) and its ***Companion Policy 24-101CP*** (“Companion Policy”). It applies to all trades that are subject to the National Instrument.

I confirm that the Entity has established, maintains and enforces policies and procedures designed to achieve trade matching in accordance with the National Instrument.

<Name>

<Title – one of senior executive officers specified in s.
2.3(1)(c) of the Companion Policy>

<Signature or e-document-signed>

<Date signed>

Notes:

1. This form has been provided and approved by the Canadian Capital Markets Association, and reviewed and endorsed by the Canadian Investment Regulatory Organization.
2. Complete fillable sections of form (including Entity’s letterhead or logo). Form may be signed digitally or with wet signature.